



FINANCIAL FRAUD INSTITUTE

Learn How to Avoid Financial and Consumer Fraud

**Open to the public and
no cost to attend**

**Space is Limited— Register
at www.utfraud.com
or (801) 579-6191**



#StopFraudUtah

Free parking at U of U Stadium and accessible
by UTA Trax (Red Line Stadium Station)

Join local and national experts from federal and state law enforcement and financial regulatory agencies for a free consumer education seminar.
Learn how to recognize and avoid financial fraud.

You'll Learn the Four "Rs" to Avoid Financial Fraud:

- **Research:** Key questions to ask before making any investment decision
- Where to find free and unbiased **Resources**
- How to spot the **Red Flags** in financial scams
- How to **Report** suspected financial fraud

When: Wednesday, April 26, 2017
5:00 p.m.— 8:30 p.m.

Where: S.J. Quinney College
Of Law Auditorium
383 S. University Street
Salt Lake City, UT 84112

What: Free Light Dinner and
Presentation

Who: Attorney General Sean Reyes

Sponsored by: U.S. Securities & Exchange Commission, U.S. Attorney's Office, FBI, U.S. Commodity Futures Trading Commission, IRS, Financial Industry Regulatory Authority, Utah Divisions of Securities and Consumer Protection, Salt Lake District Attorney's Office, and S.J. Quinney College of Law

FINANCIAL FRAUD INSTITUTE AGENDA

Salt Lake County Event

April 26, 2017

- **5:00 – 5:30** | Registration/Check-in, Pick Up Box Dinners, Browse Informational Booths
- **5:30 – 5:40** | Welcome and Video
- **5:40 – 6:00** | Keynote Speaker: Utah Attorney General Sean Reyes
- **6:00 – 7:00** | Investor Fraud Panel | Topic: Recognizing and Avoiding Investment Fraud
 - Panelists:
 - Utah Division of Securities - Keith Woodwell, Division Director
 - USAO – Stewart S. Walz, Assistant U.S. Attorney, Sr. Litigation Counsel
 - SEC – Cheryl Mori, Senior Advisor
 - CFTC – Meredith Regine, Consumer Outreach Specialist
 - FINRA – Gerald Dougherty, Associate Director, Denver District Office
- **7:00 – 7:10** | Break
- **7:10 – 7:55** | Consumer Fraud Panel | Topic: Avoiding Other Types of Fraud
 - Panelists:
 - Utah Division of Consumer Protection - Daniel O'Bannon, Director
 - Salt Lake County District Attorney's Office – Steve Gibbon, Deputy District Attorney
 - IRS – Stephen Washburn, Special Agent
 - USAO – Richard W. Daynes, Assistant U.S. Attorney, Chief, National Security Section
 - FBI – Michael J. Pickett, Supervisory Special Agent
- **7:55 – 8:00** | Closing Remarks
- **8:00 – 8:30** | Tables and Booths